

**GAMBLING (GAMING AND BETTING) CONTROL
COMMISSION**

Licensing Division

BUSINESS HISTORY DISCLOSURE FORM

Business History Disclosure Form

NAME OF BUSINESS ENTITY (DO NOT ABBREVIATE)

Name as it appears on the Certificate of Incorporation, charter, by-laws, partnership agreement, formation documents or other official document.

D/B/A or Trade Name(s)

PERSON TO BE CONTACTED IN REFERENCE

Name and Title

E-Mail Address

Telephone (Area Code) Number

Fax Number

PRINCIPAL BUSINESS ADDRESS OF THE BUSINESS ENTITY:

STREET LOCATION

Number/Street

City

State

Zip Code

MAILING ADDRESS (if different) Number/Street

City

State

Zip Code

COUNTRY TELEPHONE

Area Code

Number

Fax Number

WEBSITE (URL)

This form is being submitted as an initial application for the following licence:

- ☐ Gaming Owners Licence
- ☐ Bookmakers Licence
- ☐ Promoters Licence
- ☐ Gaming Machine Operators Licence
- ☐ Gaming Machine Distributors Licence
- ☐ Premises Licence

ITEM 1. INCORPORATION OR FORMATION

A. Provide the date and place of incorporation or formation:

Date

Place of incorporation or formation

B. Incorporators or founding persons, use **Attachment 1** to provide the required information for each incorporator or founding person of the business entity.

ITEM 2. OTHER NAMES AND ADDRESSES OF THE BUSINESS ENTITY

A. List all other names under which the business entity has operated, along with appropriate time periods during which these names were being used. Use **Attachment 2** to provide details.

ITEM 3. LICENSES

Has the business entity, its parent, or any subsidiary, ever applied in any jurisdiction for a license, permit, or other authorization, to participate in lawful gambling operations (including casino gaming, horse racing, dog racing, pari-mutuel operation, lottery, sports betting, etc.)?

Yes ☐

No ☐

If yes, use **Attachment 3** to provide the required information for each license, permit or other authorization as applicable.

ITEM 4. DESCRIPTION OF PRESENT BUSINESS

Provide at **Attachment 4**, a description of the business done and intended to be done by the business entity and its parent, holding, subsidiary and intermediary companies or business entities and the general development of such business during the past five years (5), or such shorter period as the business entity or its parent, subsidiary and intermediary companies or business entities may have been engaged in business. The description shall include information on matters such as the following:

- A. The principal products produced, and services rendered by the business entity and its parent, intermediary and subsidiary companies, the principal markets for said products or services and the methods of distribution.
- B. The sources and availability of raw materials essential to the business of the entity.

ITEM 5. KEY PERSONS

Use **Attachment 5** to provide information regarding key persons during the subsequent calendar year by each **director, trustee, and key persons**, of the business entity, whether such compensation is in the form of salary, wages, commissions, fees, securities or other ownership interest, bonuses or otherwise.

“Key Person”, in relation to a licensed business, means any person who - (a) is an owner, beneficial owner, director, controlling shareholder, associate, trustee, committee member or manager of a licensee; or (b) has the ability, directly or indirectly, to exert a significant influence over the management or operations of a licensee.

ITEM 6. FINANCIAL INSTITUTIONS

Use **Attachment 6** to provide information with respect to each bank, savings and loan association or other financial institution, whether domestic or foreign, in which the business entity has or has had an account over the last 10 year period, regardless of whether such account was held in the name of the business entity, a nominee of the business entity or was otherwise under the direct or indirect control of the business entity.

ITEM 7. CRIMINAL HISTORY

The next question asks about any charges or offenses the business entity or any of its directors, trustees or officers may have committed or had filed against them. Prior to answering this question, carefully review the definitions and instructions that follow.

Definitions for purposes of this question:

- A. “Charge” includes any indictment, complaint, information, summons, or other notice of the alleged commission of any “offense.”
- B. “Offense” includes all felonies, crimes, high misdemeanors, disorderly persons offenses, and petty disorderly persons offenses.

Has the business entity or any of its subsidiaries, directors, trustees, or officers ever been indicted, charged with, or convicted of a criminal or disorderly persons offense or been a party to or named as an unindicted co-conspirator in any criminal proceeding in this state or any other jurisdiction?

Yes ☐

No ☐

If yes, use **Attachment 7** to provide information for each indictment, charge, or conviction.

BUSINESS HISTORY DISCLOSURE FORM – ATTACHMENT CONFIRMATION

In the chart below, indicate with a checkmark which attachments are included with this application. If an attachment is not applicable, mark it as N/A.

Attachment Number	Attachment Description	√ if attached o r N/A if not attached
1	Incorporators or founding persons	
2	Other names and addresses of the business entity (presently used)	
3	Licenses (other gambling)	
4	Description of business	
5	Key Persons	
6	Financial institutions	
7	Criminal history	

The Gambling Control Commission (GCC) reserves the right to request additional information if deemed necessary.

ATTACHMENT 1 INCORPORATORS OR FOUNDING PERSONS

NAME	LAST KNOWN ADDRESS	OCCUPATION(S)	DATE OF BIRTH

ATTACHMENT 2 OTHER NAMES AND ADDRESSES OF THE BUSINESS ENTITY

NAME	NUMBER AND STREET	CITY	STATE	ZIP CODE

ATTACHMENT 3 LICENSES (OTHER GAMBLING)

NAME AND ADDRESS OF LICENSING	DATE OF APPLICATION	STATUS (GRANTED/DENIED, PENDING)	TYPE OF GAMBLING/GAMING ACTIVITY	IF ISSUED, GIVE APPROPRIATE LICENSE, PERMIT OR OTHER SUCH NUMBER AND EXPIRATION DATE

ATTACHMENT 4 DESCRIPTION OF PRESENT BUSINESS

Section		Information
Description of Present Business		
Business Entity:		
Parent Company:		
Holding Company:		
Subsidiary Companies:		
Intermediary Companies:		
General Development (Past 5 Years):		
A. Principal Products and Services		
Products/Services	Principal Markets	Methods of Distribution
B. Raw Materials		
Sources		Availability

ATTACHMENT 5 DIRECTORS, TRUSTEES

NAME AND HOME ADDRESS	BUSINESS ADDRESS	DATES DIRECTORSHIP OR OFFICE		OCCUPATION OR TITLE, POSITION OR ASSOCIATION WITH THE BUSINESS ENTITY	DATE OF BIRTH	COMPENSATION (ANNUAL)
		FROM	TO			

ATTACHMENT 6 FINANCIAL INSTITUTION

NAME AND ADDRESS	TYPE OF ACCOUNT(S)	ACCOUNT NUMBER(S)	TIME PERIOD ACCOUNT	
			FROM	TO

ATTACHMENT 7 CRIMINAL HISTORY

NAME OF CASE AND NUMBER	NATURE OF CHARGE OR COMPLAINT	DATE OF CHARGE OR COMPLAINT	NAME AND ADDRESS OF LAW ENFORCEMENT AGENCY OR COURT INVOLVED	DISPOSITION (ACQUITTED, CONVICTED, DISMISSED, ETC.)	SENTENCE