

**GAMBLING (GAMING AND BETTING)
CONTROL COMMISSION**

Licensing Division

“INSERT SEAL HERE

**BUSINESS HISTORY DISCLOSURE
FORM**

Business History Disclosure Form

NAME OF BUSINESS ENTITY (DO NOT ABBREVIATE)

Name as it appears on the Certificate of Incorporation, charter, by-laws, partnership agreement, formation documents or other official document.

D/B/A or Trade Name(s)

PERSON TO BE CONTACTED IN REFERENCE TO THIS APPLICATION:

Name and Title

E-Mail Address

Telephone (Area Code) Number

Fax Number

PRINCIPAL BUSINESS ADDRESS OF THE BUSINESS ENTITY:

STREET LOCATION

Number/Street

City

Zip Code

MAILING ADDRESS (if different) Number/Street

City

Zip Code

COUNTRY TELEPHONE

Area Code

Number

Fax Number

WEBSITE (URL)

This form is being submitted as an initial application for the following licence(s):

- Gaming Owners Licence
- Bookmakers Licence
- Promoters Licence
- Gaming Machine Operators Licence
- Gaming Machine Distributors Licence
- Premises Owners Licence

ITEM 1. INCORPORATION OR FORMATION

A. Provide the date and place of incorporation or formation:

Date

Place of incorporation or formation

B. Incorporators or founding persons, use Attachment 1 to provide the following information for each incorporator or founding person of the business entity:

NAME	LAST KNOWN ADDRESS	OCCUPATION(S)	DATE OF BIRTH d/m/y
------	--------------------	---------------	------------------------

ITEM 2. OTHER NAMES AND ADDRESSES OF THE BUSINESS ENTITY

A. List all other names under which the business entity has done business and give the approximate time periods during which these names were being used:

B. Use Attachment 2A to provide the following information about all other addresses presently used by the business entity and all addresses from which the business entity is presently doing business:

NUMBER AND STREET	COUNTRY	CITY	STATE	ZIP CODE
-------------------	---------	------	-------	----------

C. Use Attachment 2B to provide the following information on all addresses, other than those listed in Item 2A, which the business entity held, or from which it was conducting business during the last 20 year period, and give the approximate time periods during which such addresses were held:

NUMBER AND STREET	COUNTRY	CITY	STATE	ZIP CODE	DATES	
					FROM	TO

ITEM 3. LICENSES

A. During the last 20-year period, has the business entity, its parent or any subsidiary, ever had any license or certificate issued by a government agency in any jurisdiction, denied, suspended or revoked?

Yes No

If yes, use Attachment 3A to provide the following information for each license or certificate denied, suspended or revoked:

TYPE OF LICENSE OR CERTIFICATE	NAME AND LOCATION OF GOVERNMENTAL AGENCY	ACTION TAKEN	DATE	REASON FOR ACTION TAKEN
--------------------------------	--	--------------	------	-------------------------

B. Has the business entity, its parent or any subsidiary, ever applied in any jurisdiction for a license, permit, or other authorization, to participate in lawful gambling operations (including casino gaming, horse racing, dog racing, pari-mutuel operation, lottery, sports betting, etc.)?

Yes No

If yes, use Attachment 3B to provide the following information for each license, permit or other authorization applied for:

NAME AND ADDRESS OF LICENSING AGENCY	DATE OF APPLICATION	DISPOSITION (GRANTED, DENIED, PENDING)	TYPE OF GAMBLING ACTIVITY	IF ISSUED, GIVE APPROPRIATE LICENSE, PERMIT, OR OTHER SUCH NUMBER, AND THE EXPIRATION DATE
--------------------------------------	---------------------	--	---------------------------	--

ITEM 4. DESCRIPTION OF PRESENT BUSINESS

Provide as Attachment 4, a description of the business done and intended to be done by the business entity and its parent, holding, subsidiary and intermediary companies or business entities and the general development of such business during the past 20 years, or such shorter period as the business entity or its parent, subsidiary and intermediary companies or business entities may have been engaged in business. The description shall include information on matters such as the following:

- A. Competitive conditions in the industry or industries involved and the competitive position of the business entity, if known.
- B. The principal products produced and services rendered by the business entity and its parent, intermediary and subsidiary companies, the principal markets for said products or services and the methods of distribution.
- C. The sources and availability of raw materials essential to the business of the entity.
- D. The importance to the business and the duration and effect of, all material patents, trademarks, licenses, franchises, and concessions held.

- E. In describing developments, provide information such as the following: the nature and results of any bankruptcy, receivership or similar proceedings with respect to the business entity or its parent, intermediary or subsidiary companies; the nature and results of any other material reorganization, readjustment or succession of the business entity or any of its subsidiaries; the acquisition or disposition of any material amount of assets otherwise than in the ordinary course of business; and any material changes in the mode of conducting the business.

ITEM 5. DESCRIPTION OF FORMER BUSINESS

Provide as Attachment 5, a description of any former business, not listed in response to Item 3, which the business entity or any parent, intermediary or subsidiary company engaged in during the last 20-year period and the reasons for the cessation of such business. Also indicate the approximate time period during which each such business was conducted.

ITEM 6. DIRECTORS AND TRUSTEES

Use Attachment 6 to provide the following information for each director and/or trustee, or person with similar authority, of the business entity. (NOTE: Each director and trustee of the business entity must complete a Personal History Disclosure Form that is to be filed with this application.)

NAME AND HOME ADDRESS	BUSINESS ADDRESS	DATES DIRECTORSHIP/ Trusteeship OR		OCCUPATION OR TITLE, POSITION OR ASSOCIATION WITH THE BUSINESS ENTITY	DATE OF BIRTH
		FROM	TO		

ITEM 7. FORMER DIRECTORS

Use Attachment 7 to provide the following information for each person, not listed in response to Item 5, who held the position of director or trustee, or person with similar authority, of the business entity during the last 20 years.

NAME AND HOME ADDRESS	OCCUPATION AND BUSINESS ADDRESS	DATES DIRECTORSHIP OR		DATE OF BIRTH	REASON FOR LEAVING
		FROM	TO		

ITEM 8. OFFICERS

Use Attachment 8 to provide the following information for each officer of the business entity. Officers include all persons serving as president, secretary, treasurer, chairman of the board, vice-president, general/corporate counsel or any such other officers as may be prescribed by the formation documents and referenced as a “Key Person” in accordance with the Act. (Note: A Personal History Disclosure Form must be completed by every person noted below. In addition, the Commission may, in its discretion, order additional persons associated with the business entity to file such a form if it appears that such persons should be qualified in order to effectuate the purposes of the (Gambling (Gaming and Betting) Control Act.)

NAME AND HOME ADDRESS	TITLE	DATES OFFICE		BUSINESS ADDRESS	DATE OF BIRTH
		FROM	TO		

ITEM 9. FORMER OFFICERS

Use Attachment 9 to provide the following information for each person, not listed in response to Item 7, who was an officer of the business entity during the last 20-year period. Officers include all persons serving as president, secretary, treasurer, chairman of the board, vice-president or any such other offices as may be prescribed by the formation documents or served in the capacity as a “Key Person” in accordance with the Act.

NAME AND LAST KNOWN HOME ADDRESS	OFFICE HELD	DATES OFFICE		PRESENT OCCUPATION AND BUSINESS ADDRESS	DATE OF BIRTH
		FROM	TO		

ITEM 10. COMPENSATION OF KEY PERSONS

Use Attachment 10 to provide the following information regarding the amount of total annual compensation received during the last calendar year and the amount to be received during the subsequent calendar year by each director, trustee, and key persons, of the business entity, whether such compensation is in the form of salary, wages, commissions, fees, securities or other ownership interest, bonuses or otherwise.

NAME	COMPENSATION LAST CALENDAR YEAR	COMPENSATION SUBSEQUENT CALENDAR YEAR	FORM OF COMPENSATION
------	---------------------------------	---------------------------------------	----------------------

ITEM 11. COMPENSATION OVER TT \$500,000 or equivalent

Use Attachment 11 to provide the following information for each person, other than those listed in response to Item 9, who currently receives, or who reasonably can be expected to receive within one calendar year from the date of this form, compensation as described in Item 9 that exceeds \$500,000 per year.

NAME	DATE OF BIRTH	BUSINESS ADDRESS	POSITION AND LENGTH OF TIME EMPLOYED WITH THE BUSINESS ENTITY	AMOUNT OF COMPENSATION
------	---------------	------------------	---	------------------------

ITEM 12. BONUS, PROFIT SHARING, PENSION, RETIREMENT, DEFERRED COMPENSATION AND SIMILAR PLANS

Provide as Attachment 12, a description of all bonus, profit sharing, pension, retirement, deferred compensation and similar plans in existence or to be created by the business entity. This description shall include, but not be limited to:

1. the title or name of the plan;
2. the identity and address of the trustee of the plan or the person administering the plan;
3. the material features of the plan;
4. the methods of financing the plan;
5. the identity of each class of person who is or will participant in the plan;
6. the approximate number of persons in each such class; and
7. the amounts distributed under the plan to each class of persons during the last fiscal year, if the plan was in effect during that time.

ITEM 13. SECURITY OR OTHER OWNERSHIP INTEREST DESCRIPTION

Provide as Attachment 13, a description of the nature, type, number of authorised and issued shares, terms, conditions, rights and privileges of all classes of voting, nonvoting and security or other ownership interest issued, or to be issued, by the business entity, including the number of shares of each class of security or other ownership interest authorised or to be authorised and the number of shares of each class of security or other ownership interest outstanding (i.e., not held by or on behalf of the issuer) as of this date.

If the right of holders of any class of security or other ownership interest may be modified otherwise than by a vote of a majority or more of the outstanding shares so affected, voting as a class, so state and explain briefly:

ITEM 14. VOTING SHAREHOLDERS

Use Attachment 14 to provide the following information for each person or entity holding of record or having a beneficial interest in any voting securities or other ownership interest issued by the business entity. This information must be provided as of a date no more than 60 days prior to the date of this application. (Note: If the business entity submitting this form is an applicant for all corporate licensees, or is a nonpublic holding or intermediary company of such an applicant, then a completed Personal History Disclosure Form, or Business History Disclosure Form, as the case may be, must be filed for each person or entity holding or having a beneficial interest in the voting securities or other ownership interest of the business entity. If the business entity submitting this form is a publicly-traded holding company of an applicant for a casino license, then a completed Personal History Disclosure Form, or Business History Disclosure Form, as the case may be, must be filed for each person or entity holding or having a beneficial interest in the voting securities or other ownership interest of the business entity unless the Commission has granted a waiver of the qualification requirement as to such persons or entity).

NAME AND HOME ADDRESS	DATE OF BIRTH	CLASS OF VOTING SECURITIES OR OTHER OWNERSHIP INTEREST HELD	NUMBER OF SHARES HELD	% OF OUTSTANDING VOTING SECURITIES OR OTHER OWNERSHIP INTEREST HELD
-----------------------	---------------	---	-----------------------	---

ITEM 15. NON-VOTING SHAREHOLDERS

Use Attachment 15 to provide the following information for each person or entity holding of record or having a beneficial interest in any nonvoting securities or other ownership interest issued by the business entity. This information must be provided as of a date no more than 60 days prior to the date of this application. (Note: If the business entity submitting this form is an applicant for all corporate licensees, or is a nonpublic holding or intermediary company of such an applicant, then a completed Personal History Disclosure Form or Business History Disclosure Form, as the case may be, must be filed for each person or entity holding or having a beneficial interest in the nonvoting securities or other ownership interest of the business entity. If the business entity submitting this form is a publicly-traded holding company of an applicant for a casino license, then a completed Personal History Disclosure Form or Business History Disclosure Form, as the case may be, must be filed for each person or entity holding or having a beneficial interest in the nonvoting securities or other ownership interest of the business entity, unless the Commission has granted a waiver of the qualification requirement as to such persons or entity).

NAME AND HOME ADDRESS	DATE OF BIRTH	CLASS OF NONVOTING SECURITIES OR OTHER OWNERSHIP INTEREST HELD	NUMBER OF SHARES HELD	% OF OUTSTANDING NONVOTING SECURITIES OR OTHER OWNERSHIP INTEREST HELD
-----------------------	---------------	--	-----------------------	--

ITEM 16. DESCRIPTION OF LONG TERM DEBT

Provide as Attachment 16, a description of the nature, type, terms, covenants, conditions and priorities of all outstanding bonds, loans, mortgages, trust deeds, notes, debentures, or other forms of indebtedness issued or executed (including loans made by shareholders, or to be issued or executed by the business entity, which mature more than one year from the date of issuance or which, by their terms, are renewable for a period of more than one year from the date of issuance) or, in the space below, provide a specific cross-reference to the applicable document(s) filed with this application that contain(s) all of the requested information.

ITEM 17. HOLDERS OF LONG TERM DEBT

Use Attachment 17 to provide the following information for each person or entity holding any outstanding bonds, loans, mortgages, trust deeds, notes, debentures, or other forms of indebtedness executed or issued by the business entity, which mature more than one year from the date of issuance or which, by their terms, are renewable for a period of more than one year from the date of issuance. (Note: Some or all of the persons or entities listed below may be required by the Commission to submit a completed Personal History Disclosure Form or Business History Disclosure Form, as the case may be.)

NAME AND ADDRESS	National ID/Registration	TYPE AND CLASS OF DEBT INSTRUMENT HELD	DOLLAR AMOUNT OF DEBT HELD (Both Original Amount and Current Balance)
------------------	--------------------------	--	---

ITEM 18. OTHER INDEBTEDNESS AND SECURITY DEVICES

Provide as Attachment 18, a description of the nature, type, terms, conditions and covenants of all outstanding loans, mortgages, trust deeds, pledges, lines of credit, or other evidence of indebtedness or security devices utilised by the business entity other than those described in response to Items 15 and 16 (or, in the space below, provide a specific cross-reference to the applicable document(s) filed with this application that contain(s) all of the requested information).

ITEM 19. HOLDERS OF OTHER INDEBTEDNESS

Use Attachment 19 to provide the following information with respect to each holder of any outstanding loan, mortgage, trust deed, pledge or other evidence of indebtedness or security device described in response to Item 17. (Note: Some or all of the persons listed in response to this item may be required by the Commission to submit a completed Personal History Disclosure Form or Business History Disclosure Form, as the case may be).

NAME AND ADDRESS	DATE OF BIRTH	TYPE OF DEBT INSTRUMENT HELD	DOLLAR AMOUNT OF DEBT HELD (Both Original Amount and Current Balance)
------------------	---------------	------------------------------	---

ITEM 20. SECURITIES OPTIONS

- A. Provide as Attachment 20A, a detailed description of any options existing or to be created with respect to securities issued by the business entity, which description shall include, but not be limited to, the title and amount of securities subject to option, the year or years during which the options were or will be granted, the conditions under which the options were or will be granted, the consideration for granting the option and the year or years during which, and the terms under which, optionees became or will become, entitled to exercise the options, and when such options expire (or include as Attachment 20A copies of any outstanding option plans or proxy statements that provide the requested information). (Note: For the purpose of this application, option shall mean right, warrant or option to subscribe to or purchase any securities issued by the business entity).
- B. Use Attachment 20B to provide the following information regarding all persons holding the options described in Item 20A.

NAME	BENEFICIAL OWNER'S ADDRESS	OPTIONS HELD	MARKET VALUE (AT ISSUANCE)
------	----------------------------	--------------	----------------------------

ITEM 21. FINANCIAL INSTITUTIONS

Use Attachment 21 to provide the following information with respect to each bank, savings and loan association or other financial institution, whether domestic or foreign, in which the business entity has or has had an account over the last 20 year period, regardless of whether such account was held in the name of the business entity, a nominee of the business entity or was otherwise under the direct or indirect control of the business entity.

NAME AND ADDRESS	TYPE OF ACCOUNT(S)	ACCOUNT NUMBER(S)	COUNTRY	TIME PERIOD	
				FROM	TO

ITEM 22. CONTRACTS AND SUPPLIERS

Use Attachment 22 to provide the following information with respect to all persons with whom the business entity has contracts or agreements of TT \$500,000 or more in value or from whom the business entity has received \$500,000 or more in goods or services in the past six months.

Employment contracts need only be listed if, by their terms, they exceed one year in duration.

NAME	ADDRESS	NATURE OF CONTRACT OR GOODS OR SERVICES SUPPLIED
------	---------	--

ITEM 23. SECURITIES OR OTHER OWNERSHIP INTEREST HELD BY THE BUSINESS ENTITY

Use Attachment 23 to provide the following information about each company in which the business entity holds shares or an interest.

NAME AND ADDRESS OF COMPANY	TYPE OF SECURITIES OR OTHER OWNERSHIP INTEREST HELD	PURCHASE PRICE PER SHARE OR INTEREST	NUMBER OF SHARES HELD	% OF OWNERSHIP MORE THAN 5%
-----------------------------	---	--------------------------------------	-----------------------	-----------------------------

ITEM 24. INSIDER TRANSACTIONS

If applicable, use Attachment 24 to provide the following information for each change that occurred within the last two years preceding, this application and any time thereafter, in the beneficial ownership of a business entity on the part of any person who is indirectly or directly a beneficial owner of 5 percent or more of any class of securities or other ownership interest in the business entity or who is or was within that period a director or officer of the business entity. (Include changes resulting from (a) gift; (b) purchase; (c) sale; (d) exercise of an option to purchase; (e) exercise of an option to sell; (f) grant or receipt of a put; or (g) grant or receipt of a call).

DATE OF TRANSACTION	NATURE OF TRANSACTION	PARTIES TO TRANSACTION (INCLUDE POSITIONS)	NAMES OF SECURITIES INVOLVED
---------------------	-----------------------	--	------------------------------

ITEM 25. CIVIL AND CRIMINAL LITIGATION HISTORY

The next question asks about any charges or offenses the business entity or any of its directors, trustees or officers may have committed or had filed against them. Prior to answering this question, carefully review the definitions and instructions that follow.

Definitions for purposes of this question:

- A. “Charge” includes any indictment, complaint, information, summons, or other notice of the alleged commission of any “offense.”
- B. “Offense” includes all felonies, crimes, high misdemeanors, disorderly persons offenses, and petty disorderly persons offenses.

Has the business entity or any of its subsidiaries, directors, trustees, or officers ever been indicted, charged with or convicted of a criminal or disorderly persons offense or been a party to or named as an unindicted co-conspirator in any criminal proceeding in this state or any other jurisdiction?

Yes No

If Yes, use Attachment 25 to provide the following information for each indictment, charge or conviction:

NAME OF CASE NUMBER	NATURE OF CHARGE OR COMPLAINT	DATE OF CHARGE OR COMPLAINT	NAME AND ADDRESS OF LAW ENFORCEMENT AGENCY OR COURT INVOLVED	DISPOSITION (ACQUITTED, CONVICTED, DISMISSED, ETC.)	SENTENCE
---------------------	-------------------------------	-----------------------------	--	---	----------

ITEM 26. TESTIMONY, INVESTIGATIONS OR POLYGRAPHS

Has the business entity, any of its subsidiaries, directors, trustees or officers ever refused to testify before, been the subject of an investigation conducted by, or requested to take a polygraph exam by, any governmental agency, court, committee, grand jury or investigatory body in any jurisdiction, other than in response to minor traffic-related offenses?

Yes No

If Yes, use Attachment 26 to provide the following information about any such testimony, investigation or polygraph exam:

NAME AND ADDRESS OF COURT OR OTHER AGENCY	NATURE OF PROCEEDINGS OR INVESTIGATION	WAS TESTIMONY GIVEN?	DATE ON WHICH TESTIMONY WAS GIVEN	APPROXIMATE TIME PERIOD OF INVESTIGATION
---	--	----------------------	-----------------------------------	--

ITEM 27. TESTIMONY, INVESTIGATIONS OR POLYGRAPHS REFUSALS

Has the business entity, any of its subsidiaries, directors, trustees or officers ever refused to testify before, to answer a question asked by, or to take a polygraph exam administered by any governmental agency, court, committee, grand jury or investigatory body in any jurisdiction?

Yes No

If Yes, use Attachment 27 to provide the following information about any such testimony, investigation or polygraph refusal:

NAME AND ADDRESS OF COURT OR OTHER AGENCY	NATURE OF PROCEEDINGS OR INVESTIGATION	DATE OF PROCEEDINGS / INVESTIGATION	CIVIL OR CRIMINAL CONTEMPT CITATION?	DISPOSITION OF CONTEMPT CITATION
---	--	-------------------------------------	--------------------------------------	----------------------------------

ITEM 28. EXISTING LITIGATION

Provide as Attachment 28, a description of all existing civil litigation to which the business entity, its parent or any subsidiary, is presently a party, whether in this state or in another jurisdiction. Do not include any litigation in which includes any civil claims pursuant to the Petty Civil Court Act Chapt. 4:21. This description must include the title and case number of the litigation, the name and location of the court before which it is pending, the identity of all parties to the litigation, and the general nature of all claims being made.

ITEM 29. ANTITRUST, TRADE REGULATION AND SECURITIES JUDGMENTS; STATUTORY AND REGULATORY VIOLATIONS

A. Has the business entity ever had a judgment, order, consent decree or consent order, pertaining to a violation or alleged violation of the federal antitrust, trade regulation, securities laws, or commonwealth, province or country entered against it?

Yes No

B. In the past 20 years, has the business entity had a judgment, order, consent decree or consent order, pertaining to a violation or alleged violation of any state or federal statute, commonwealth, regulation or code that resulted in a fine or penalty in excess of TT 50,000 or equivalent entered against it?

Yes No

If yes to either question, use Attachment 29 to provide the following information for each judgment, order, consent decree or consent order:

DATE OF OFFENSE	NATURE OF OFFENSE	TITLE OF CASE NUMBER	NAME AND ADDRESS OF COURT OR AGENCY	NATURE OF JUDGMENT, DECREE OR ORDER	DATE ENTERED
-----------------	-------------------	----------------------	-------------------------------------	-------------------------------------	--------------

ITEM 30. BANKRUPTCY OR INSOLVENCY PROCEEDINGS AND APPOINTED RECEIVER, AGENT OR TRUSTEE

A. Has the business entity, its parent or any intermediary company, had any petition under any jurisdiction, filed by or against it in the last 20-year period?

Yes No

B. Has the business entity, its parent or any intermediary company, sought relief under any provision of Bankruptcy Code or under any insolvency law in any jurisdiction the last 20-year period?

Yes No

If yes to either question, use Attachment 30 to provide the following information for each bankruptcy or insolvency proceeding:

DATE PETITION FILED OR	TITLE OF CASE AND NUMBER	NAME AND ADDRESS OF COURT OR AGENCY	NATURE OF JUDGMENT OR RELIEF	DATE ENTERED
------------------------	--------------------------	-------------------------------------	------------------------------	--------------

ITEM 31. BANKRUPTCY OR INSOLVENCY PROCEEDINGS AND APPOINTED RECEIVER, AGENT OR TRUSTEE (Continued)

C. Has any receiver, fiscal agent, trustee, reorganization trustee or similar officer, been appointed in the last 20-year period by a court for the business or property of the business entity or its parent, holding, intermediary or subsidiary companies?

Yes No

If yes to any of the above questions, use Attachment 31 to provide the following information for each proceeding:

NAME OF PERSON APPOINTED	DATE APPOINTED	COURT	REASON FOR APPOINTMENT
--------------------------	----------------	-------	------------------------

ITEM 32. CONTRIBUTIONS AND DISBURSEMENTS OF BUSINESS ENTITY

- A. During the last 20-year period, has the business entity, its parent or any subsidiary, director, officer or employee, or any third party acting for or on behalf of the business entity, had made, against it, any civil or criminal claims for inducements ,bribes or kickbacks to any employee, company or organisation to obtain favorable treatment?
 Yes No
- B. During the last 20-year period, has the business entity, its parent or any subsidiary, director, officer or employee, or any third party acting for or on behalf of the business entity, had made, against it, any civil or criminal claims for inducements ,bribes or kickbacks to any government official, domestic or foreign, to obtain favorable treatment?
 Yes No
- C. During the last 20-year period, has the business entity, its parent, any subsidiary or related entity or individual, donated or loaned funds, for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?
 Yes No
- D. During the last 20-year period, has the business entity, its parent company, any subsidiary or related entity or individual, donated or loaned property or any other thing of value, for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?
 Yes No
- E. During the last 20-year period, did the business entity, its parent or any subsidiary, make any loans, donations or other disbursements, to directors, officers or employees, for the purpose of making political contributions, either domestic or foreign?
 Yes No
- F. During the last 20-year period, has the business entity, its parent or any subsidiary, make any loans, donations or other disbursements, to directors, officers or employees, for the purpose of reimbursing such individuals for political contributions, either domestic or foreign?
 Yes No
- G. During the last 20-year period, has the business entity, its parent or any subsidiary, maintained any bank account, domestic or foreign, not reflected on the business entity's books or records?
 Yes No
- H. During the last 20-year period, has the business entity, its parent or any subsidiary, maintained any numbered account or any account, in the name of a nominee for the business entity?
 Yes No
-

ITEM 33. FINANCIAL STATEMENTS

- A. Provide as Attachment 33, an audited financial statement which shall include but not be limited to, an income statement, balance sheet, statement of sources, and application of funds and all notes to such statements and related financial schedules, for the last fiscal year
- B. Provide as Attachment 33, copies of all audited financial statements and external auditor letter/statement, prepared in the last five years, with respect to the business entity and any exceptions taken to such statements by the independent auditor retained by the business entity and the management response thereto.

ITEM 34. ANNUAL REPORTS

- A. Provide as Attachment 34, a copy of all annual reports of the business entity that were submitted to shareholders or other persons during the last five years.

ITEM 35. QUARTERLY REPORTS

Provide as Attachment 35, a copy of the last quarterly, unaudited financial statements and management report, prepared by or for the business entity for submission to any government agency for the current year.

ITEM 36. INTERIM REPORTS

Provide as Attachment 36, a copy of any current report prepared due to the occurrence of any of the following events: change in control of the business entity; acquisition or disposition of assets; bankruptcy or receivership proceedings; changes in the business entity's certifying accountant or other material events.

ITEM 37. PROXY AND INFORMATION STATEMENT

Provide as Attachment 37, a copy of the last definitive Proxy or Information Statement filed within the last five years.

ITEM 38. REPORTS OF ACCOUNTANTS

Provide as Attachment 38, a copy of all reports and correspondence, other than those previously included in this application, submitted in the last five years by independent auditors for the business entity which pertain to the issuance of financial statements, managerial advisory services, or internal control recommendations. Include the name, address and telephone number of the current outside auditor(s).

ITEM 39. FORMATION DOCUMENTS

Provide as Attachment 39, a certified copy of the Articles of Incorporation, Charter and Bylaws of the corporation, the partnership agreement of the partnership, or formation documents of the business entity, as applicable, with all amendments and proposed amendments to date.

ITEM 40. ORGANISATIONAL CHART

- A. Provide as Attachment 40A, a current ownership organisational chart of the business entity, its parent company and each subsidiary of the business entity.

- B. Provide as Attachment 40B, a functional Table of organisation for the business entity filing this Business History Disclosure Form, including position descriptions and the names of persons holding such positions.

ITEM 41. TAX RETURNS

- A. Provide as Attachment 41, a copy of the annual financial and tax returns of the business entity which was provided to regulators in any jurisdiction in the last five years.

- B. Provide as Attachment 41(b) , a copy of the annual financial gambling fees, tax or other paid to all tax collectors in the last five years.

ITEM 42. BUSINESS HISTORY DISCLOSURE FORM – ATTACHMENTS

On the following chart, indicate with a checkmark which attachments are included with this application. If an attachment is not applicable, indicate N/A. Please note that attachment numbers with an asterisk (*) are attachments you are to provide or create and do not contain corresponding charts.

Attachment Number	Attachment Description	√ if attached N/A if not attached
1	Incorporators or founding persons	
2A	Other names and addresses of the business entity (presently used)	
2B	Other names and addresses of the business entity (past 10 years)	
3A	Licenses (Government)	
3B	Licenses (other gambling)	
4	Description of business done and intended to be done	
5	Description of any former business engaged in during the last 10 years and the reason for the cessation of the business	
6	Directors and trustees	
7	Former directors and trustees	
8	Officers	
9	Former officers	
10	Compensation of officers and directors	
11	Compensation over \$500,000	
12	Description of all bonus, profit sharing, pension, retirement, deferred compensation, and similar plans	
13	Security or Ownership interest description	
14	Voting shareholders	
15	Nonvoting shareholders	
16	Description of long-term debt	
17	Holders of long-term debt	
18	Other indebtedness and security devices	
19	Holders of other indebtedness	
20A	Securities options – description	
20B	Persons holding securities options	
21	Financial institutions	
22	Contracts and suppliers	
23	Securities or other ownership interest held by the business entity	
24	Insider transactions	
25	Criminal history	
26	Testimony, investigations or polygraphs	
27	Testimony, investigations or polygraph refusals	
28	Existing litigation	
29	Antitrust, trade regulations and securities judgments, statutory and regulatory violations	
30	Bankruptcy or insolvency proceedings and appointed receiver, agent or trustee (bankruptcy or insolvency)	
31	Bankruptcy or insolvency proceedings and appointed receiver, agent or trustee (appointed receiver, agent or trustee)	
32	Audited financial statements for the last fiscal year	
33	Financial statements for the last five years	
34	Annual reports for the last five years	
	Annual reports prepared on the SEC's Form 10K for the last five years	
35	A copy of the last quarterly unaudited financial statement	
36	Copy(ies) of any interim reports	
37	A copy of the last definitive proxy or information statement (SEC)	
37	A copy of all registration statements for the last five years filed in accordance with the Securities Act of 1933	
38	Copies of all other reports prepared in the last five years by independent auditors of the business entity	
39	Formation documents and all amendments and proposed amendments	
40A	Current ownership table of organization	
40B	Functional table of organization for business entity filing this form, job descriptions and names of employees	
41	Copies of forms filed with the IRS in the last five years	

ITEM 43. AFFIDAVITS AND SIGNATURES

Pursuant to the regulations of the Gambling (Gaming and Betting) Control Act 2021, this form must be sworn to or affirmed, signed and dated, before a person legally competent to take an oath or affirmation, who shall himself date the signature of the affiant and indicate the basis of his authority to take oaths and affirmations.

The documents on pages 20 through 23 are to be signed in accordance with these regulations. The documents are:

AFFIDAVIT

RELEASE AUTHORIZATION

CONSENT TO INSPECTIONS, SEARCHES AND

SEIZURES

WAIVER OF LIABILITY

The President or any officer of the business entity authorised to affirm may complete the affidavit. The remaining documents are to be signed by the President or Chief Executive Officer.

AFFIDAVIT

STATE _____:

COUNTRY _____:

I, _____, the _____ of the business entity,
(Name) (Title/Position)

being duly sworn according to law, on my oath, deposes and says that I make this statement on behalf of the business entity, and that the above statements are true and correct to the best of my knowledge and belief, and that this statement is executed with the knowledge that any misrepresentation or failure to reveal information may be deemed sufficient cause for the refusal to issue, or the revocation of, a license. Further, that I am voluntarily submitting this statement and understand that misleading statements may subject me to criminal or other sanctions or punishment.

Name of Business Entity

By: _____
(Signature)

(Title)

(Accountant Preparing Form, if any)

Subscribed and sworn to before me

this _____ day of _____, 20

.

(Notary Public/Commissioner of Affidavit)

(Attorney Preparing Form, if any)

RELEASE AUTHORIZATION

TO: All Courts, Probation Departments, Selective Service Boards, Employers, Educational Institutions, Banks, Credit Agencies, Financial and Other Such Institutions and All Governmental Agencies – in any jurisdiction, without exception, both foreign and domestic.

On behalf of _____,
(Name of Business Entity)

I, _____, have authorised the Gambling (Gaming and Betting) Control Commission (Name of Chief Executive Officer)

Enforcement to conduct a full investigation into the background of said business entity.

Therefore, you are hereby authorized to release any and all information pertaining to the said business entity, documentary or otherwise, as requested by any employee, agent or representative of the Commission of Gaming Enforcement, provided that he or she certifies to you that said business entity has an application pending before the Division of Gaming Enforcement or Casino Control Commission or that said business entity is presently a licensee or registrant required to be qualified under the provisions of the Casino Control Act.

This authorization shall supersede and countermand any prior request or authorization to the contrary.

A photocopy of this authorization will be considered as effective and valid as the original.

NOTICE
The Commission, in connection with its investigation of this submission, will conduct checks with law enforcement / fingerprint agencies and credit agencies.

(Date)

(Signature)

Subscribed and sworn to before me

this _____ day of _____, 20__.

(Notary Public /Commissioner of Affidavit)

CONSENT TO INSPECTIONS, SEARCHES AND SEIZURES

On behalf of _____,
(Name of Business Entity)

I, _____, hereby consent to all inspections, searches and seizures (Name of President or Chief Executive Officer)

and the supplying of handwriting exemplars as authorised by the Gambling (Gaming and Betting) Control Act and by the rules and regulations of the Licensing Division of Gaming Enforcement.

The said business is aware of its right, secured by the Constitution of Trinidad and Tobago, and the Gambling (Gaming and Betting) Control Act No. 8 of 2021, not to consent to such inspections, searches and seizures and I expressly waive and forego that right on behalf of said business entity.

(Date)

(Signature)

Subscribed and sworn to before me

this _____ day of _____, 20__.

(Notary Public/ Commissioner of Affidavit)

**WAIVER OF
LIABILITY**

On behalf of _____,
(Name of Business Entity)

I, _____, hereby waive liability as to the Gambling (Gaming and Betting)
Control Commission

its instrumentalities and agents, for any damages resulting to the said business entity from any disclosure or publication in any manner, other than a willfully, unlawful disclosure or publication, of any material or information acquired during the licensing process or during any inquiries, investigations or hearings.

(Date)

(Signature)

Subscribed and sworn to before me

this _____ day of _____, 20__.

(Notary Public/ Commissioner of Affidavit)

ATTACHMENT 1 INCORPORATORS OR FOUNDING PERSONS

NAME	LAST KNOWN ADDRESS	OCCUPATION(S)	DATE OF BIRTH

ATTACHMENT 2A OTHER NAMES AND ADDRESSES OF THE BUSINESS ENTITY (Presently Used)

NAME	NUMBER AND STREET	CITY	STATE	ZIP CODE

ATTACHMENT 2B OTHER NAMES AND ADDRESSES OF THE BUSINESS ENTITY (Past 10 Years)

NAME	NUMBER AND STREET	CITY	STATE	ZIP CODE	DATES	
					FROM	TO

ATTACHMENT 3A LICENSES (GOVERNMENT)

TYPE OF LICENSE OR CERTIFICATE	NAME AND LOCATION OF GOVERNMENTAL AGENCY	ACTION TAKEN	DATE	REASON FOR ACTION TAKEN

ATTACHMENT 3B LICENSES (OTHER GAMBLING)

NAME AND ADDRESS OF LICENSING	DATE OF APPLICATION	DISPOSITION (GRANTED, DENIED,	TYPE OF GAMBLING ACTIVITY	IF ISSUED, GIVE APPROPRIATE LICENSE, PERMIT OR OTHER SUCH NUMBER AND EXPIRATION DATE

ATTACHMENT 6 DIRECTORS, TRUSTEES

NAME AND HOME ADDRESS	BUSINESS ADDRESS	DATES DIRECTORSHIP OR		OCCUPATION OR TITLE, POSITION OR ASSOCIATION WITH THE BUSINESS ENTITY	DATE OF BIRTH
		FROM	TO		

ATTACHMENT 7

FORMER DIRECTORS, TRUSTEES

NAME AND HOME ADDRESS	OCCUPATION AND BUSINESS ADDRESS	DATES DIRECTORSHIP OR		DATE OF BIRTH	REASON FOR LEAVING
		FROM	TO		

ATTACHMENT 8 OFFICERS

NAME AND HOME ADDRESS	TITLE	DATES OFFICE HELD		BUSINESS ADDRESS	DATE OF BIRTH
		FROM	TO		

ATTACHMENT 9 FORMER OFFICERS

NAME AND LAST KNOWN HOME ADDRESS	OFFICE HELD	DATES OFFICE HELD		PRESENT OCCUPATION AND BUSINESS ADDRESS	DATE OF BIRTH
		FROM	TO		

ATTACHMENT 10 COMPENSATION OF KEY PERSONS

NAME	COMPENSATION LAST CALENDAR	COMPENSATION PRESENT CALENDAR YEAR	FORM OF COMPENSATION

ATTACHMENT 11 COMPENSATION OVER \$500,000

NAME	DATE OF BIRTH	BUSINESS ADDRESS	POSITION AND LENGTH OF TIME EMPLOYED WITH THE BUSINESS ENTITY	AMOUNT OF COMPENSATION

ATTACHMENT 14 VOTING SHAREHOLDERS

NAME AND HOME ADDRESS	DATE OF BIRTH	CLASS OF VOTING SECURITIES OR OTHER OWNERSHIP INTEREST HELD	NUMBER OF SHARES HELD	% OF OUTSTANDING VOTING SECURITIES OR OTHER OWNERSHIP INTEREST HELD

ATTACHMENT 15 NONVOTING SHAREHOLDERS

NAME AND HOME ADDRESS	DATE OF BIRTH	CLASS OF NONVOTING SECURITIES OR OTHER OWNERSHIP INTEREST HELD	NUMBER OF SHARES HELD	% OF OUTSTANDING NONVOTING SECURITIES OR OTHER OWNERSHIP INTEREST HELD

ATTACHMENT 17 HOLDERS OF LONG TERM DEBT

NAME AND ADDRESS	DATE OF BIRTH	TYPE AND CLASS OF DEBT INSTRUMENT HELD	DOLLAR AMOUNT OF DEBT HELD (Both Original Amount and Current Balance)

ATTACHMENT 19 HOLDERS OF OTHER INDEBTEDNESS

NAME AND ADDRESS	DATE OF BIRTH	TYPE OF DEBT INSTRUMENT HELD	DOLLAR AMOUNT OF DEBT HELD (Both Original Amount and Current Balance)

ATTACHMENT 20B PERSONS HOLDING SECURITIES OPTIONS

NAME	BENEFICIAL OWNER'S ADDRESS	OPTIONS HELD	MARKET VALUE AT ISSUANCE

ATTACHMENT 21 FINANCIAL INSTITUTIONS

NAME AND ADDRESS	TYPE OF ACCOUNT(S)	ACCOUNT NUMBER(S)	TIME PERIOD ACCOUNT	
			FROM	TO

ATTACHMENT 22 CONTRACTS AND SUPPLIERS

NAME	ADDRESS	NATURE OF CONTRACT OR GOODS OR SERVICES SUPPLIED

ATTACHMENT 23 SECURITIES OR OTHER OWNERSHIP INTEREST HELD BY THE BUSINESS ENTITY

NAME AND HOME ADDRESS OF COMPANY	TYPE OF OWNERSHIP OR OTHER OWNERSHIP INTEREST HELD	PURCHASE PRICE PER SHARE	NUMBER OF SHARES HELD	% OF OWNERSHIP MORE THAN 5%

ATTACHMENT 24 INSIDER TRANSACTIONS

DATE OF TRANSACTION	NATURE OF TRANSACTION	PARTIES TO TRANSACTION (INCLUDE	NUMBER OF SECURITIES

ATTACHMENT 25 CRIMINAL HISTORY

NAME OF CASE AND NUMBER	NATURE OF CHARGE OR COMPLAINT	DATE OF CHARGE OR COMPLAINT	NAME AND ADDRESS OF LAW ENFORCEMENT AGENCY OR COURT INVOLVED	DISPOSITION (ACQUITTED, CONVICTED, DISMISSED, ETC.)	SENTENCE

ATTACHMENT 26 TESTIMONY, INVESTIGATIONS OR POLYGRAPHS

NAME AND ADDRESS OF COURT OR OTHER AGENCY	NATURE OF PROCEEDINGS OR INVESTIGATION	WAS TESTIMONY GIVEN?	DATE ON WHICH TESTIMONY WAS GIVEN	APPROXIMATE TIME PERIOD OF INVESTIGATION

ATTACHMENT 27 TESTIMONY, INVESTIGATIONS OR POLYGRAPH REFUSALS

NAME AND ADDRESS OF COURT OR OTHER AGENCY	NATURE OF PROCEEDINGS OR INVESTIGATION	DATE OF PROCEEDINGS OR INVESTIGATION	CIVIL OR CRIMINAL CONTEMPT CITATION? (SPECIFY)	DISPOSITION OF CONTEMPT CITATION

ATTACHMENT 28 EXISTING LITIGATION

CASE NUMBER	TITLE AND COURT	GENERAL NATURE	LOCATION

ATTACHMENT 29 ANTITRUST, TRADE REGULATION AND SECURITIES JUDGMENTS; STATUTORY AND REGULATORY VIOLATIONS

DATE OF OFFENSE	NATURE OF OFFENSE	TITLE OF CASE AND NUMBER	NAME AND ADDRESS OF COURT OR AGENCY	NATURE OF JUDGMENT, DECREE OR ORDER	DATE ENTERED

ATTACHMENT 30A BANKRUPTCY OR INSOLVENCY PROCEEDINGS AND APPOINTED RECEIVER,
AGENT OR TRUSTEE (BANKRUPTCY OR INSOLVENCY)

DATE PETITION FILED OR RELIEF SOUGHT	TITLE OF CASE AND NUMBER	NAME AND ADDRESS OF COURT OR AGENCY	NATURE OF JUDGMENT OR RELIEF	DATE ENTERED

ATTACHMENT 31 BANKRUPTCY OR INSOLVENCY PROCEEDINGS AND APPOINTED RECEIVER,
AGENT OR TRUSTEE (APPOINTED RECEIVER, AGENT OR TRUSTEE)

NAME OF PERSON APPOINTED	DATE APPOINTED	COURT	REASON FOR APPOINTMENT

