

Report &/or Return Schedule — Act and Formal GC

Report or return	Applicable licence
Transitional notification - existing gaming operations	Existing gaming establishment or gaming machine operator within the scope of section 53
Transitional notification - existing betting operations	Existing betting shop or betting machine operator within the scope of section 60
Change in licence-holder circumstances	All applicable licensees; includes relevant directors, beneficial owners, management, control, premises and specified key personnel
Conviction notification	Licensee where the licensee, an associate or an employee is convicted of an offence described in section 38(1)(d)
Licence renewal intention and renewal application	Holder of a renewable GCC licence
Application to vary or amend a licence	Licensee proposing a change that requires an amendment to its licence or licensed activity
Response to proposed suspension	Licensee served with a notice proposing suspension
Response to proposed revocation	Licensee served with a notice proposing revocation
Initial internal controls and accounting procedures	Licensed operator required to maintain systems of internal control and administrative/accounting procedures
Amendment to approved internal controls	Licensed operator with approved internal controls or accounting/administrative procedures
Bank authorisation notice	Licensed operator where banking authorisation is required under approved controls or by GCC
Employee list requested by GCC	Gaming establishment or other licensee receiving a request under section 33(3)
Annual audited financial statements and auditor's report	Licensed operator subject to Schedule 3 financial reporting requirements
Accounting, administrative or operational report requested by GCC	Licensee or regulated person named in a written GCC request
Application for approval of a game and its rules	Licensee intending to offer a game of chance at a licensed establishment
Response to a GCC information, inspection or document request	Licensee or regulated person named in the request
Corrective action, remediation or improvement-plan response	Licensee directed by GCC to correct a deficiency or submit a plan

C Notice Items

Deadline	Supporting documents
Within 3 months after commencement, or within any extension formally granted by GCC	Proof of existing operation; premises, machines and ownership details; any other evidence required by GCC
Within 3 months after commencement, or within any extension formally granted by GCC	Proof of existing operation; premises, machines and ownership details; any other evidence required by GCC
Within 14 days of the change	Description and effective date of change; updated corporate, ownership, premises or key-person records; supporting resolutions or notices
Immediate Notification	Court or conviction details; person's role; offence, date and outcome; regulatory impact assessment
Notify intention to renew 6 months before licence expiry; submit the complete application within the period/form formally prescribed by GCC	Current licence; prescribed fee; proof that taxes, fees and levies are paid; updated suitability and corporate records; other prescribed documents
Before implementation; Applicants must allow sufficient time for a formal decision	Description and reason; impact assessment; updated plans, controls, technical evidence and corporate documents, as applicable
Within 7 days of the notice	Written representations; supporting evidence; remediation already completed or proposed
Within 28 days of the notice	Written representations; supporting evidence; remediation already completed or proposed
Before conducting licensed operations	Internal control system; accounting and administrative procedures; organisational charts; control matrices; supporting policies and system descriptions
Before implementation and after written GCC approval;	Redline and clean versions; rationale; risk and control impact; implementation plan; board or management approval, where applicable
By the date stated in the applicable requirement or GCC direction	Executed bank authorisation and account details; signatory authority; related corporate records
By the date stated in the GCC request	Requested employee details and any licences, roles or declarations specified by GCC
Within 4 months after the end of the financial year	Audited annual financial statements; auditor's report; directors' approval; reconciliation or schedules required by GCC
By the date or for the reporting period stated in the written request	Records, reconciliations, source data, explanations and certifications specified in the request
Before deployment; no fixed statutory lead time	Game rules; mathematics and payout information; technical or laboratory certification; responsible-gambling and control assessment
By the date stated in the request or notice issued by GCC Compliance	All information, records, certifications and explanations specified in the request
By the deadline stated in the GCC Corrective Action Plan issued by GCC Compliance	Root-cause analysis; action plan; owners and target dates; evidence of completion; management certification, where required

Legal basis

Gambling (Gaming and Betting) Control Act, 2021, section 53

Gambling (Gaming and Betting) Control Act, 2021, section 60

Gambling (Gaming and Betting) Control Act, 2021, sections 38(1)(b) and 47

Gambling (Gaming and Betting) Control Act, 2021, section 38(1)(d)

Gambling (Gaming and Betting) Control Act, 2021, section 43

Gambling (Gaming and Betting) Control Act, 2021, section 48

Gambling (Gaming and Betting) Control Act, 2021, section 45(4)

Gambling (Gaming and Betting) Control Act, 2021, section 46(3)

Gambling (Gaming and Betting) Control Act, 2021, section 52 and Schedule 3, paragraphs 1–2

Gambling (Gaming and Betting) Control Act, 2021, Schedule 3, paragraphs 1–2

Gambling (Gaming and Betting) Control Act, 2021, Schedule 3, paragraph 3

Gambling (Gaming and Betting) Control Act, 2021, section 33(3)

Gambling (Gaming and Betting) Control Act, 2021, section 52 and Schedule 3, paragraph 7

Gambling (Gaming and Betting) Control Act, 2021, Schedule 3, paragraph 8; applicable GCC notice or direction

Gambling (Gaming and Betting) Control Act, 2021, section 57

Gambling (Gaming and Betting) Control Act, 2021, section 13(1)(g)–(i), section 67 and the applicable GCC request/notice

Applicable Act provision, licence condition and written GCC direction/notice